

Political Science 349/449: Political Science Research Methods

Fall 2008

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11:30-12:30 and by appointment *

How do political scientists investigate puzzles and defend their conclusions? This course illuminates this question by examining approaches that researchers use to understand political events and processes. In doing so, the course provides students with skills—such as the ability to evaluate and conduct research—that are helpful to completing senior capstone projects and succeeding in a variety of careers. Students will learn and apply key techniques, including inductive and deductive reasoning, hypothesis construction, operationalization of concepts, measurement, sampling and probability, causal inference, and the logic of controls. They will use these techniques to help them develop a research question, conduct a literature review, and craft a research proposal. By the end of the semester undergraduates and graduate students will have a proposal that can be used as the foundation for the senior project and the dissertation prospectus, respectively. This proposal can also serve as part of a grant application, particularly for those interested in original data collection.

Course Materials

Books and Articles: The books *Political Science Research Methods* and *Case Study Research* are available for purchase at the bookstore and on reserve at Kelvin Smith Library. Other course materials will be distributed in class, are on reserve, or are available online, as indicated in the attached list of course readings. *Political Science Research Methods* provides basic descriptions of the techniques we will be using in class and some examples of their application. Chapters from other methodological texts, such as *Case Study Research*, will augment these descriptions, and articles from the mass media and the instructors' and other researchers' publications will provide examples of applying these techniques. Graduate students should also read *The Structure of Scientific Revolutions*, *Designing Social Inquiry*, and *Varieties of Social Explanations*. Their citations appear under particular weeks; however they can be read whenever most useful. They can be borrowed from Kelvin Smith Library or Ohiolink.

News: Students are strongly encouraged to follow national and world news in part because awareness of political events and trends can help students develop research questions. These sources offer high quality coverage:

- *The New York Times*, <http://www.nytimes.com/>, provides daily news for free on its website, once you register. Also see the website for details about a student subscription discount.
- *The Economist* is available for free online through EuclidPLUS and in print at Kelvin Smith Periodicals. For information about a student subscription rate call 1-800-456-6086.
- National Public Radio (WCPN 90.3 FM) broadcasts brief news updates at the top of the hour and news analyses throughout the day on programs like Morning Edition, Talk of the Nation, All Things Considered, and Marketplace. See <http://www.wcpn.org/schedule/> for the schedule.

Blackboard: Students will post their literature reviews on Blackboard, as later described. To post your literature review, go to the course Blackboard site, choose Discussion Board, doubleclick on Literature Reviews and then on my message. Select reply, attach your literature review as a doc (not docx) file, and click submit. Help is available through Blackboard FAQ.

* No office hours August 28, September 18, September 25, November 20

Course Requirements

Project: Students will work on their own projects during the semester so that they can practice using techniques they learn and so that they will be better prepared to begin a senior capstone project or dissertation. The project consists of the following assignments.

Research Topic (Tuesday, September 2, *two copies*) Students should write a one-paragraph description of a political research topic that they may want to study during this semester and in the future. Proposing more than one is fine, but each should be described in its own paragraph. To develop a topic, students should draw on their knowledge of national and world affairs from the media, think about their interests, and review their notes and readings from previous classes. These courses may include those outside of the discipline, such as history or psychology, as other fields also bring to light political concerns. Research does not need to be conducted to select a topic. Grading of topic descriptions will be based on the detail provided and the quality of writing.

Literature Review (midnight Tuesday, September 18, *email and post on Blackboard*)—The literature review should explain how answering the research question will contribute to existing knowledge in the field, should include a works cited, and should be four to five double-spaced pages long. Each student should email his or her literature review to the instructor and post it on the class Blackboard site. Grading will be based on the extent to which the review makes a compelling case that answering the research question will contribute to the field, represents progress conducting research on the question, is well written, and meets those additional criteria identified in advance by the class.

Experimental Design (Tuesday, October 7, *two copies*)—In approximately three double-spaced pages, each student should state his or her research question and then propose a laboratory or field experiment or series of experiments by which it could be investigated. In explaining the experiments, the student should identify variables, measures, and, if applicable a hypothesis (or hypotheses). The experiment(s) must be ethical. Grading will be based on the extent to which the description effectively applies this design approach, represents progress in thinking about the research project, and is well written.

Case Study Design (Tuesday, October 14, *two copies*)— In approximately three double-spaced pages, each student should state his or her research question and then propose a case study design by which it could be investigated. In explaining the case study, the student should identify the case(s), variables, measures, and, if applicable a hypothesis (hypotheses). Grading will be based on the extent to which the description effectively applies this design approach, represents progress in thinking about the research project, and is well written.

Large-N Cross-Case Design (Thursday, October 23, *two copies*)—In approximately three double-spaced pages, each student should state his or her research question and then propose a large-N cross-case study by which it could be investigated. In explaining the large-N cross case study, the student should identify the variables, measures, and, if applicable a hypothesis (or hypotheses), the sample, and the survey type. Grading will be based on the extent to which the description effectively applies this design approach, represents progress in thinking about the research project, and is well written.

First Version of Proposal (undergraduates: Tuesday, October 28 OR Thursday, October 30 OR Thursday, November 6 OR Thursday, November 13, *17 copies*; graduate students: Thursday, November 6, *17 copies*)—Students should submit an eleven to twelve-page double-spaced research proposal. The first page should include the title and an abstract. The remaining pages should include a statement of the research question, an explanation of why the question is theoretically and empirically important, a description of how the question will be investigated using two of the three design approaches, and a single-spaced works cited.

Proposals submitted after class discussion of data collection and, if applicable, protection of human subjects should also comment on these issues. All proposals should draw on the literature reviews and design descriptions already written, and in selecting two designs students should consider which would be more feasible for a senior capstone project or dissertation. Grading will be based on the extent to which the proposal makes a compelling case for the research question and the designs, is well written, and meets those additional criteria identified in advance by the class.

Presentation of Work in Progress (undergraduates: Thursday, October 30 OR Thursday, November 6 OR Thursday, November 13 OR Tuesday, November 18, *one electronic or paper copy of visuals*; graduate students: Tuesday, November 11, *one electronic or paper copy of visuals*) Each student will provide a four to five-minute oral overview of his/her proposal, keeping in mind that classmates will have read it in advance. The presentation should include visuals, and students should be prepared to respond to questions. Grading will be based on the extent to which the presentation makes a compelling case for the research question and the designs and is clear and engaging.

Final Proposal (December 4, *one copy*) For the final proposal, students should follow the instructions for the first version and two additional requirements. First, all students should describe data collection and protection of human subjects, if applicable. Second, the final proposal should incorporate useful suggestions from classmates and the instructor so that its quality improves. Grading will be based on the extent to which the proposal makes a compelling case for the research question and the designs and is well written.

All written work should be carefully structured and grammatically correct. To avoid plagiarism, students should review “Plagiarism: What It Is and How to Recognize and Avoid It,” distributed in class. Cases of plagiarism and other forms of academic dishonesty will be investigated by university judicial bodies. For formatting citations, see *The Chicago Manual of Style* (under Z253.C57 at Kelvin Reference), www.dartmouth.edu/~sources/, or a similar guide. Either footnotes or parenthetical citations may be used.

Participation: The focus of each class will be on discussion. Students are expected to actively participate in discussion and exercises, including a radish experiment, and to thoughtfully complete evaluations of their classmates’ work (described below). Readings should be completed by the day they are listed on the syllabus. Students are encouraged to take notes on the readings in order to be prepared to integrate and critique the materials in class. Because participation is critical to understanding the material, students are expected to attend all classes. Except under extraordinary circumstances, absenteeism will negatively affect students’ final grades.

Comments on Classmates’ Literature Review (Tuesday, September 23, *three copies*)— Each student will comment on three classmates’ literature reviews using an evaluation form created by the class. Student will also share their comments orally during the class meeting. Grading will be based on the detail provided in the written comments.

Comments on Classmates’ First Versions of Proposals (Thursday, October 30 AND Thursday, November 6 AND Thursday, November 13 AND Tuesday, November 18, *two copies*)—Each student will comment on their classmates’ first version of the proposal using an evaluation form created by the class. Students will also share their comments orally during the class meeting. Grading will be based on the detail provided in the written comments.

Class participation, attendance, and evaluation of classmates’ work will constitute 15 percent of the course grade, the research topic description will count for five percent, the final proposal for 20 percent, and each of the other six assignments as 10 percent. Students will lose a half a grade on any assignment for each day it is late. Not completing an assignment will negatively affect a student’s final grade.

Course Schedule and Readings

WEEK 1 Introduction to Research Methods and Course—Is Political Science a science?

August 26

August 28 NO CLASS

READ: Janet Buttolph Johnson, H. T. Reynolds, and Jason D. Mycoff. *Political Science Research Methods*. 6th ed. Washington, D.C.: CQ Press, 2008, 1-42, 44-59 as follow-up to our discussion August 26 and in preparation for reading subsequent chapters.

Graduate students should also read Thomas S. Kuhn. *The Structure of Scientific Revolutions*. 2d ed. (Chicago: University of Chicago Press, 1970).

WEEK 2 Question Development—What makes for an effective research question?

September 2

READ: *Political Science Research Methods*, 60-65, 183-184

DUE: Research Topic

September 4

READ: *Political Science Research Methods*, 182-208

Reviews of the field, subfield, and research topics that are relevant to your own research question and that are included in the sources below. Unless otherwise noted, the following reviews are available in hardcopy on reserve at Kelvin Smith circulation desk. The instructor will suggest for each student additional reviews or places to search for them by email.

Political Science: State of the Discipline eds. Ira Katznelson and Helen V. Milner. (New York: W.W. Norton, 2002).

The Oxford Handbook of Political Behavior. eds. Russell J. Dalton and Hans-Dieter Klingemann (New York: Oxford, 2007).

The Oxford Handbook of Comparative Politics. eds. Carles Boix and Susan C. Stokes (New York: Oxford, 2007).

The Oxford Handbook of Political Institutions. eds. R.A.W. Rhodes, Sarah A. Binder, and Bert A. Rockman (New York: Oxford, 2006).

The Oxford Handbook of Political Economy. eds. Barry R. Weingast and Donald Wittman (New York: Oxford, 2006). This handbook is broader than the name implies.

The Oxford Handbook of Political Psychology. eds. by David O. Sears, Leonie Huddy, Robert Jervis (New York: Oxford, 2003). *continues*

The Oxford Handbook of International Relations. eds. Christian Reus-Smit and Duncan Snidal (New York: Oxford, 2008).

Handbook of International Relations. eds. Walter Carlsnaes, Thomas Risse, and Beth A. Simmons (Thousand Oaks, CA: Sage, 2002).

Handbook of Party Politics. eds. Richard S. Katz and William J. Crotty (Thousand Oaks, CA: Sage, 2006).

A Handbook of Political Sociology: States, Civil Societies, and Globalization. eds. Thomas Janoski, et al. (New York: Cambridge University Press, 2005).

A New Handbook of Political Science. eds. Robert E. Goodin and Hans-Dieter Klingemann (Oxford: Oxford University Press, 1998). *Obtain through Kelvin Smith Library's catalog, connecting with VPN software if you are off campus.*

Annual Review of Political Science. *Obtain through Kelvin Smith Library's e-Journal portal, connecting with VPN software if you are off campus. Search for journal title first.* It is worth examining the table of contents for the last two decades.

World Politics, a comparative politics and international relations journal, most issues have a review article at the end that examines the state of research on a particular topic. *Obtain through Kelvin Smith Library's e-Journal portal, connecting with VPN software if you are off campus. Search for journal title first.* This journal has a search engine and reviewing the tables of contents back through the 1990s may also be helpful.

Perspectives on Politics, a disciplinary journal, most issues have a review essay that examines the state of research on a particular topic. *Obtain through Kelvin Smith Library's e-Journal portal, connecting with VPN software if you are off campus. Search for journal title first.* This journal has a search engine and reviewing the table of contents back through 2003, when it began, may also be helpful.

Review of International Political Economy, a journal focused on international political economy, most issues have a review essay that examine the state of research of a particular topic. *Obtain through Kelvin Smith Library's e-Journal portal, connecting with VPN software if you are off campus. Search for journal title first.* This journal has a search engine and reviewing the tables of contents back through the 1994, when it began, may also be helpful.

WEEK 3 Literature Review—How will my research contribute to existing knowledge?

September 9

READ: Continue reading reviews and scholarly works related to your research question.

Kelly M. McMann. *Economic Autonomy and Democracy: Hybrid Regimes in Russia and Kyrgyzstan*. New York: Cambridge University Press, 2006.
Read 1-10. *Distributed in class September 4.*

September 11

READ: Continue reading reviews and scholarly works related to your research question.

WEEK 4 Reasoning—How do I draw conclusions?

September 16

READ: Continue reading scholarly works related to your research question.

Political Science Research Methods, 42-44

Karl Raimund Popper. *The Logic of Scientific Discovery*. New York: Basic Books, 1959. Read 27-28, 40-41, 112-114, 189-191. *Distributed in class September 4.*

Graduate students should also read Gary King, Robert O. Keohane, and Sidney Verba. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. (Princeton: Princeton University Press, 1994).

September 18 NO CLASS

DUE: Literature Review

WEEK 5 Hypothesis Construction—How do I make my ideas testable?

September 23

READ: *Political Science Research Methods*, 65-87

PLANT: Radish seeds at home

DUE: Comments on Classmates' Literature Review

September 25 NO CLASS

WEEK 6 Operationalization—How do I create variables and measure them?

September 30

READ: *Political Science Research Methods*, 88-121

DUE: Radish plant data

October 2

READ: Continue reading scholarly works related to your research question, giving thought to how you could explore your question in an experiment.

Economic Autonomy and Democracy, 44-49, 56-68. *Distributed in class September 4.*

Malcolm Gladwell. "Examined Life: What Stanley H. Kaplan Taught Us About the S.A.T." *The New Yorker* (December 17, 2001). *Available at www.newyorker.com. Search for title.*

WEEK 7 Research Design—Experiments

October 7

READ: *Political Science Research Methods*, 122, 127-146

DUE: Experimental Design

October 9

READ: Collect and review materials that will help you determine what data are available or could be collected to study your research question and consider what cases would be most useful to examine.

Begin reading for October 14.

WEEK 8 Research Design—Case Study

October 14

READ: John Gerring. *Case Study Research: Principles and Practices*. New York: Cambridge University Press, 2007. Read 19-29, 37-63, 86-91; sections on typical, crucial, most-similar, and most different cases, 91-97, 115-122, 131-139, and 139-144, and select two other techniques of case-selection from Table 5.1 on page 89 that may be useful to your research question and read the sections about those, appearing between pages 97 and 131. Note: Only skim Gerring's descriptions of quantitative techniques for case selection through out the last part of this reading. These techniques are only beginning to catch on in the field.

Graduate students should read all of *Case Study Research*.

DUE: Case Study Design

October 16

READ: Collect and review materials that will help you determine what data are available or could be collected to explore your research questions through a large number of cases.

Economic Autonomy and Democracy, 10-27. *Distributed in class September 4.*

Begin reading for October 23.

WEEK 9 Research Design—Large-N Cross-Case studies

October 21 NO CLASS

October 23

READ: *Political Science Research Methods*, 147-148, 155-165, 175, 209-242, 297-319

DUE: Large-N Design

WEEK 10 Research Design—Multimethods and Formal Modeling

October 28

READ: Kelly M. McMann. “Market Reform as a Stimulus to Particularistic Politics.” *Comparative Political Studies* 42, no. 7 (forthcoming 2009). *Distributed electronically October 16.*

Susan C. Stokes. “Perverse Accountability: A Formal Model of Machine Politics with Evidence from Argentine.” *American Political Science Review* 99, no. 3 (August 2005). *Obtain through Kelvin Smith Library’s e-Journal portal, connecting with VPN software if you are off campus. Search for journal title first.*

Political Science Research Methods, 166-171.

Graduate students should also read Daniel Little. *Varieties of Social Explanation: An Introduction to the Philosophy of Social Science*. (Boulder: Westview Press, 1991).

DUE (designated undergraduates): First Version of Proposal

October 30—Workshop

READ: Classmates’ First Versions of Proposals

DUE: Comments on Classmates’ First Versions of Proposals

DUE (designated undergraduates): Presentation of Work in Progress

DUE (designated undergraduates): First Version of Proposal

WEEK 11 Data Collection—How can I obtain data?

November 4

READ: *Political Science Research Methods*, 243-296, 319-350

November 6—Workshop

READ: Classmates’ First Versions of Proposals

DUE: Comments on Classmates’ First Versions of Proposals

DUE (designated undergraduates): Presentation of Work in Progress

DUE (graduate student and designated undergraduates): First Version of Proposal

WEEK 12 Protection of Human Subjects—How can I ensure no one is harmed?

November 11—Class Discussion and Mini-workshop

READ: *Case Western Reserve University Social/Behavioral Science Institutional Review Board Guidebook*. Read pages 14 and 15. Skim pages 17-47 and 57-64 and others you identify as important from the table of contents to determine 1) under what conditions would your project be subject to IRB review? 2) what type of review? 3) what precautions would the IRB require you to take to protect human subjects? *Distributed electronically September 4.*

DUE (graduate student): Presentation of Work in Progress

November 13—Workshop

READ: Classmates' First Versions of Proposals

DUE: Comments on Classmates' First Versions of Proposals

DUE (designated undergraduates): Presentation of Work in Progress

DUE (designated undergraduates): First Version of Proposal

WEEK 13

November 18—Workshop

READ: Classmates' First Versions of Proposals

DUE: Comments on Classmates' First Versions of Proposals

DUE (designated undergraduates): Presentation of Work in Progress

November 20 NO CLASS

WEEK 14 Data Analysis—Statistical Techniques I

November 25

READ: Lisa Belkin. "The Odds of That." *The New York Times* (August 11, 2002). Available at www.nyt.com. Search for title.
Political Science Research Methods, 351-425

November 27 NO CLASS

WEEK 15 Data Analysis—Statistical Techniques II

December 2

READ: *Political Science Research Methods*, 426-526

December 4—Conclusion

DUE: Final Proposal, 10 am, Clark 104